

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	3011636
<b>Application Number:</b>	10615250
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	8151
<b>Title of Invention:</b>	Method and system for providing principal protection exposure to equity markets
<b>First Named Inventor/Applicant Name:</b>	Yi Tso
<b>Customer Number:</b>	32361
<b>Filer:</b>	Barry J. Schindler/Christine Lucas
<b>Filer Authorized By:</b>	Barry J. Schindler
<b>Attorney Docket Number:</b>	06878.114001
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<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 1050
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1		tso_am.pdf	544914	yes	13
			251d431ea2a707b1099e1ace0b12071b92557ea		
Multipart Description/PDF files in .zip description					
Document Description		Start		End	
Miscellaneous Incoming Letter		1		2	
Extension of Time		3		3	
Amendment - After Non-Final Rejection		4		4	
Claims		5		8	
Applicant Arguments/Remarks Made in an Amendment		9		13	
Warnings:					
Information:					
2	Fee Worksheet (PTO-06)	fee-info.pdf	8187	no	2
			c0648c3c9be98c3b57674c6c9f51b11b731b722		
Warnings:					
Information:					
Total Files Size (in bytes):			553101		

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

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